

## Saturation Theorems for Families of Dual Operators

S. RIES AND R. L. STENS

*Lehrstuhl A für Mathematik, Aachen University of Technology,  
Aachen, Federal Republic of Germany*

*Communicated by P. L. Butzer*

Received August 10, 1983

### 1. INTRODUCTION

This paper, which continues the authors' work on the dual versions of fundamental approximation theorems [8], but can be read independently, deals with the saturation behaviour of a family of dual operators  $\{T'_t; t \in (0, 1]\}$ , where  $\{T_t\}$  is a commutative, strong approximation process on a Banach space  $X$  satisfying a so called Voronovskaja-type relation (see (1.4) below).

Since there exist several definitions of the saturation property differing somewhat from each other (e.g. [1, p. 25; 2, p. 87; 4, p. 434; 5, p. 49]), let us just recall that one which seems to be the most appropriate for our setting.

**DEFINITION 1.** Let  $\{T'_t; t \in (0, 1]\}$  be a family of bounded linear operators mapping a Banach space  $X$  into itself.  $\{T'_t\}$  is said to possess the saturation property, if there exists a positive function  $\varphi$ , defined on  $(0, 1]$  with  $\lim_{t \rightarrow 0+} \varphi(t) = 0$ , such that: (i) for every  $f \in X$  satisfying

$$\liminf_{t \rightarrow 0+} \|(\varphi(t))^{-1} [T'_t f - f]\|_X = 0 \tag{1.1}$$

there holds  $T'_t f = f$  for small  $t$ , i.e.,  $f$  is an invariant element of  $T'_t$ , and (ii) the set

$$F[X; T'_t] := \{f \in X; \|T'_t f - f\|_X = \mathcal{O}(\varphi(t)), t \rightarrow 0+\}$$

contains at least one non-invariant element  $f_0$ .

In this event, the family  $\{T'_t\}$  is said to be saturated in  $X$  with order  $\mathcal{O}(\varphi(t))$ , and  $F[X; T'_t]$  is called its Favard or saturation class.

It is also possible to consider sequences of operators  $\{T'_k; k \in \mathbb{N}\}$  ( $\mathbb{N} = \text{naturals}$ ). One need just replace  $t \in (0, 1]$  by  $k \in \mathbb{N}$ ,  $t \rightarrow 0+$  by  $k \rightarrow \infty$ .

and  $\varphi(t)$  by  $\varphi(1/k)$  whenever they occur. Then all results given below will remain valid for this case.

One of the main results concerning saturation, due to H. Berens [1, Satz 3.2], is given in

**THEOREM 1.** *Let  $\{T_t; t \in (0, 1]\}$  be a commutative, strong approximation process on a Banach space  $X$ , i.e.,*

$$T_t T_s f = T_s T_t f \quad (f \in X; s, t \in (0, 1]), \quad (1.2)$$

$$\lim_{t \rightarrow 0+} \|T_t f - f\|_X = 0 \quad (f \in X), \quad (1.3)$$

and  $B$  a closed linear operator with domain  $D(B) \subset X$  and range  $R(B) \subset X$ , satisfying the Voronovskaja-type relation

$$\lim_{t \rightarrow 0+} \|(\varphi(t))^{-1} [T_t g - g] - Bg\|_X = 0 \quad (g \in D(B)), \quad (1.4)$$

$\varphi$  given as in Definition 1. Suppose that there exists a regularization process  $\{J_n; n \in \mathbb{N}\}$ , i.e., a sequence of bounded linear operators from  $X$  into itself such that  $J_n(X) \subset D(B)$  for each  $n \in \mathbb{N}$ , and

$$\lim_{n \rightarrow \infty} \|J_n f - f\|_X = 0 \quad (f \in X), \quad (1.5)$$

$$J_n T_t f = T_t J_n f \quad (f \in X; n \in \mathbb{N}; t \in (0, 1]). \quad (1.6)$$

(a) *If  $f \in X$  is such that (1.1) holds, then  $f \in D(B)$  and  $Bf = 0$ .*

(b) *The following statements are equivalent for  $f \in X$ :*

(i)  $\|T_t f - f\|_X = \mathcal{O}(\varphi(t))$  ( $t \rightarrow 0+$ ),

(ii)  $f \in \widehat{D(B)}^X$ , i.e.,  $f$  belongs to the completion of  $D(B)$  relative to  $X$ .

For the definition of the relative completion recall [1, p. 14; 4, p. 373]. In (ii) as well as in the following  $D(B)$  is endowed with the norm

$$\|g\|_{D(B)} := \|g\|_X + \|Bg\|_X \quad (g \in D(B)). \quad (1.7)$$

Note that Theorem 1 does not state that  $\{T_t\}$  is saturated, since the conclusion of part (a), namely,  $Bf = 0$ , does not necessarily imply  $T_t f = f$  for small  $t$ . In many applications, however, this will be the case, so that this result is a useful tool for proving saturation theorems for particular approximation processes.

The aim of this paper now is to prove two counterparts of Theorem 1 for

the family  $\{T_t'\}$  of dual operators. Our results generalize in particular those of de Leeuw [7] and Butzer–Berens [2, Corollary 2.1.5] concerning saturation of dual semigroups of operators.

## 2. PRELIMINARIES

Concerning notations, if  $X, Y$  are normed linear spaces, then  $|X, Y|$  is the space of all bounded linear operators from  $X$  into  $Y$ , endowed with the operator norm  $\|\cdot\|_{|X, Y|}$ . Instead of  $|X, X|$  we write  $|X|$ .

If  $X', Y'$  are the dual spaces of  $X$  and  $Y$ , respectively, and  $T \in |X, Y|$ , then the dual operator  $T'$ , defined by

$$\langle T'f', f \rangle = \langle f', Tf \rangle \quad (f' \in Y'; f \in X), \quad (2.1)$$

is an element of  $|Y', X'|$  and

$$\|T'\|_{|Y', X'|} = \|T\|_{|X, Y|}. \quad (2.2)$$

Moreover, if  $Y$  is a Banach space and the range of  $T$  equals  $Y$ , in notation  $R(T) = Y$ , then  $T'$  has a continuous inverse, i.e.,  $(T')^{-1} \in |X', Y'|$  (see [9, Section 4.5 and Theorem 4.7B]).

Now let  $B$  be a linear operator (not necessarily bounded) with domain  $D(B)$  dense in  $X$  into  $X$ . The operator  $B^*$ , also called the dual of  $B$ , is a mapping whose domain  $D(B^*)$  consists of all  $f' \in X'$  for which there exists a  $g' \in X'$  such that

$$\langle g', g \rangle = \langle f', Bg \rangle \quad (g \in D(B)); \quad (2.3)$$

in this case one sets  $B^*f' = g'$ . It is clear that  $D(B^*)$  is a linear manifold in  $X'$ , and that  $B^*$  is a linear operator from  $D(B^*)$  into  $X'$ .

On the other hand, since  $B$  becomes a bounded operator when regarded as a mapping from  $D(B)$  (endowed with the norm (1.7)) into  $X$ , one can also consider the operator  $B' \in |X', D(B)'|$ . It follows that  $B^*$  is the restriction of  $B'$  to those  $f' \in X'$  for which  $B'f'$  has a continuous extension from  $D(B)$  to  $X$ , in other words,  $f'$  belongs to  $D(B^*)$  if and only if  $B'f' \in X'$ . Note that the extension of  $B'f'$  from  $D(B)$  to  $X$  is unique since  $D(B)$  is dense in  $X$  (cf. [6, p. 50]).

The following lemmas will be needed below:

LEMMA 1. *Under the assumptions of Theorem 1 there holds:*

- (i)  $D(B)$  is dense in  $X$ ;
- (ii)  $T_t \in |D(B)|$  ( $t \in (0, 1]$ );
- (iii)  $T_t Bg = B T_t g$  ( $g \in D(B)$ ;  $t \in (0, 1]$ ).

*Proof.* Assertion (i) follows immediately from (1.5) since  $J_n f \in D(B)$ . To prove (ii) and (iii) note that  $g$  belongs to  $D(B)$  and  $Bg = f$  if and only if  $s\text{-}\lim_{t \rightarrow 0+} (\varphi(t))^{-1} [T_t g - g] = f$  (cf. [4, p. 502]). Now, if  $g \in D(B)$ , then

$$s\text{-}\lim_{s \rightarrow 0+} \frac{T_s T_t g - T_t g}{\varphi(s)} = T_t \left[ s\text{-}\lim_{s \rightarrow 0+} \frac{T_s g - g}{\varphi(s)} \right] = T_t Bg,$$

implying  $T_t g \in D(B)$  and  $BT_t g = T_t Bg$ , which is (iii). Furthermore, part (ii) follows in view of

$$\|T_t g\|_{D(B)} = \|T_t g\|_X + \|T_t Bg\|_X \leq \|T_t\|_{[X]} \|g\|_{D(B)}. \quad \blacksquare$$

LEMMA 2. Let  $\{T_t; t \in (0, 1]\}$  and  $B$  be given as in Theorem 1. Then there exist  $M, \delta > 0$  such that

$$\|(\varphi(t))^{-1} [T_t - I]\|_{[D(B), X]} \leq M \quad (0 < t \leq \delta), \quad (2.4)$$

$$\|(\varphi(t))^{-1} [T'_t - I]\|_{[X', D(B)']} \leq M \quad (0 < t \leq \delta), \quad (2.5)$$

where  $I$  denotes the identity operator on any space.

The proof of (2.4) follows by the uniform boundedness principle in view of (1.4), noting that  $D(B)$  is a Banach space under the norm (1.7); inequality (2.5) can be deduced from (2.4) by (2.2).

### 3. TWO GENERAL DUAL SATURATION THEOREMS

The aim of this section is to prove two counterparts of Theorem 1 for the family  $\{T'_t; t \in (0, 1]\}$  of dual operators, where  $\{T_t\}$  is given as in Theorem 1. It follows obviously from the definition and (1.3) that  $\{T'_t\}$  is a family of bounded linear operators from  $X'$  into itself, satisfying

$$\lim_{t \rightarrow 0+} \langle T'_t f', f \rangle = \langle f', f \rangle \quad (f' \in X'; f \in X),$$

i.e.,  $T'_t$  tends in the  $w^*$ -topology of  $X'$  towards the identity.

Similarly, since  $B$  is a bounded linear operator from  $D(B)$  normed by (1.7) into  $X$ , it follows that  $B' \in [X', D(B)']$ , and in view of (1.4) there holds the Voronovskaja-type relation

$$\lim_{t \rightarrow 0+} \langle (\varphi(t))^{-1} [T'_t f' - f'], g \rangle = \langle B' f', g \rangle \quad (f' \in X'; g \in D(B)). \quad (3.2)$$

THEOREM 2. Let  $\{T_t; t \in (0, 1]\}$ ,  $B$  and  $\{J_n; n \in \mathbb{N}\}$  be given as in Theorem 1. Suppose, in addition, that there exists a complex number  $\lambda$  such that  $R(B - \lambda I) = X$ .

(a) If  $f' \in X'$  is such that  $\liminf_{t \rightarrow 0+} \|(\varphi(t))^{-1} [T'_t f' - f']\|_{X'} = 0$ , then  $f' \in D(B^*)$  and  $B^* f' = 0$  on  $X$ .

(b) The following statements are equivalent for  $f' \in X'$ :

(i)  $\|T'_t f' - f'\|_{X'} = \mathcal{O}(\varphi(t))$  ( $t \rightarrow 0+$ ),

(ii)  $f' \in D(B^*)$ .

*Proof.* Concerning part (a), there exists a sequence  $\{t_j; j \in \mathbb{N}\} \subset (0, 1]$  with  $\lim_{j \rightarrow \infty} t_j = 0$  such that

$$\lim_{j \rightarrow \infty} \|(\varphi(t_j))^{-1} [T'_{t_j} f' - f']\|_{X'} = 0.$$

This yields for all  $g \in D(B)$

$$\begin{aligned} 0 &= \lim_{j \rightarrow \infty} \langle (\varphi(t_j))^{-1} [T'_{t_j} f' - f'], g \rangle \\ &= \lim_{j \rightarrow \infty} \langle f', (\varphi(t_j))^{-1} [T'_t g - g] \rangle = \langle f', Bg \rangle, \end{aligned}$$

giving  $f' \in D(B^*)$  and  $B^* f' = 0$  by (2.3).

As to part (b), setting  $B_t := (\varphi(t))^{-1} [T'_t - I]$ , one has by (i) that the family  $\{B'_t f'\} \subset X'$ , regarded as a family of operators from  $X$  into the space of complex numbers, is uniformly bounded for  $0 < t \leq \delta$ , and strongly convergent for  $t \rightarrow 0+$  on a dense subset of  $X$  by (3.2). An application of the Banach–Steinhaus theorem (cf. [4, Proposition 0.7.3]) then yields the existence of a  $g' \in X'$  such that

$$\lim_{t \rightarrow 0+} \langle B'_t f', f \rangle = \langle g', f \rangle \quad (f \in X).$$

Comparing this with (3.2) reveals that

$$\langle g', g \rangle = \langle B'_t f', g \rangle = \langle f', Bg \rangle \quad (g \in D(B)),$$

and so it follows that  $f' \in D(B^*)$ .

Conversely, since  $R(B - \lambda I) = X$  implies that  $B' - \lambda I$  has a continuous inverse from  $D(B)'$  into  $X'$ , one obtains

$$\begin{aligned} \|B'_t f' - B^* f'\|_{X'} &= \|(B' - \lambda I)^{-1} (B' - \lambda I)(B'_t - B^*) f'\|_{X'} \\ &\leq M \|(B' - \lambda I)(B'_t - B^*) f'\|_{D(B)'}, \\ &= M \|(B' - \lambda I)(B'_t - B') f'\|_{D(B)'}, \end{aligned}$$

noting that  $B' f' = B^* f'$  on  $D(B)$ . Now one easily verifies by Lemma 1(iii)

that  $(B' - \lambda I)$  commutes with  $(B'_t - B')$ , and so in view of (2.5) there follows the estimate

$$\|B'_t f' - B^* f'\|_{X'} \leq M \|B'_t - B'\|_{[X', D(B)]^{-1}} \|(B' - \lambda I) f'\|_{X'} = \mathcal{O}(1) \quad (t \rightarrow 0+).$$

This gives assertion (i), and the proof is complete. ■

It should be mentioned that the existence of the regularization process  $\{J_n\}$ , which is not explicitly used in the proof of Theorem 2, is needed for the proof of Lemma 1(iii) which in turn was used to show that  $(B' - \lambda I)$  commutes with  $(B'_t - B')$ . If  $T_t$  maps  $X$  into  $D(B)$ , which is the case in many applications, then one may take  $J_n = T_{1/n}$ .

Now we come to the second counterpart of Theorem 1. Since  $T_t$  belongs also to  $[D(B)]$ , one can in addition treat saturation of  $\{T'_t\}$  in  $[D(B)']$ . In this case one has to assume that the  $J_n$  map  $X$  continuously into  $D(B)$ .

**LEMMA 3.** *Let  $\{T_t\}$ ,  $B$  and  $\{J_n\}$  be given as in Theorem 1, and assume that  $J_n \in [X, D(B)]$  for each  $n \in \mathbb{N}$ . Then*

- (i)  $J_n B g = B J_n g$  ( $g \in D(B)$ ;  $n \in \mathbb{N}$ );
- (ii)  $\lim_{n \rightarrow \infty} \|J_n g - g\|_{D(B)} = 0$  ( $g \in D(B)$ );
- (iii)  $\|J_n\|_{[D(B)]} \leq M$  ( $n \in \mathbb{N}$ );
- (iv)  $J'_n B' g' = B' J'_n g'$  ( $g' \in D(B)'$ ;  $n \in \mathbb{N}$ );
- (v)  $\lim_{n \rightarrow \infty} \langle J'_n f', g \rangle = \langle f', g \rangle$  ( $f' \in D(B)'$ ;  $g \in D(B)$ );
- (vi)  $\|J'_n\|_{[D(B)]'} \leq M$  ( $n \in \mathbb{N}$ ).

*Proof.* Assertion (i) can be proved similarly as Lemma 1(iii) using (1.6); (ii) then follows by (1.5). Regarding (iii), one has again to apply the Banach–Steinhaus theorem, noting (ii) and the fact that  $\{J_n\} \subset [D(B)]$ . Statements (iv), (v) and (vi), finally, are the dual versions of (i), (ii) and (iii). ■

Our second result now reads

**THEOREM 3.** *Let the assumptions of Theorem 2 be satisfied and suppose, in addition, that  $\{J_n; n \in \mathbb{N}\} \subset [X, D(B)]$ .*

(a) *If  $f' \in D(B)'$  is such that  $\liminf_{t \rightarrow 0+} \|(\varphi(t))^{-1} \|T'_t f' - f'\|_{D(B)'} = 0$ , then  $f'$  has a continuous extension from  $D(B)$  to  $X$ , belongs to  $D(B^*)$  and  $B^* f' = 0$  on  $X$ .*

(b) *The following statements are equivalent for  $f' \in D(B)'$ :*

- (i)  $\|T'_t f' - f'\|_{D(B)'} = \mathcal{O}(\varphi(t))$  ( $t \rightarrow 0+$ ),
- (ii)  $f'$  has a continuous extension from  $D(B)$  to  $X$ , i.e.,  $f' \in X'$ .

*Proof.* We prove only part (b) since (a) follows by the same argument as in the proof of Theorem 2. Now for  $f' \in D(B)'$  one has  $J'_n f' \in X'$ , and

$$\begin{aligned} \|J'_n f'\|_{X'} &= \|(B' - \lambda I)^{-1}(B' - \lambda I)J'_n f'\|_{X'} \\ &\leq M\{\|B'J'_n f'\|_{D(B)'} + |\lambda| \|J'_n f'\|_{D(B)'}\}. \end{aligned}$$

Using (1.6) and (i) one can estimate the first term by

$$\begin{aligned} \|B'J'_n f'\|_{D(B)'} &\leq \liminf_{t \rightarrow 0^+} \|J'_n(\varphi(t))^{-1}[T'_t f' - f']\|_{D(B)'} \\ &\leq \sup_{t \in (0, \delta)} \|(\varphi(t))^{-1}[T'_t f' - f']\|_{D(B)'} \|J'_n\|_{[D(B)']^{-1}} \\ &\leq M \|J'_n\|_{[D(B)']^{-1}} \quad (n \in \mathbb{N}), \end{aligned}$$

so that together

$$\|J'_n f'\|_{X'} \leq \{M + |\lambda| \|f'\|_{D(B)'}\} \|J'_n\|_{[D(B)']^{-1}} \leq M_1 \quad (n \in \mathbb{N}),$$

the latter inequality being valid by Lemma 3(vi). So the sequence  $\{J'_n f'\}$  is uniformly bounded with respect to  $n \in \mathbb{N}$ , as well as convergent on a dense subset of  $X$  by Lemma 3(v). So one can conclude that there exists some  $f'_0 \in X'$  satisfying

$$\lim_{n \rightarrow \infty} \langle J'_n f', f \rangle = \langle f'_0, f \rangle \quad (f \in X).$$

Comparing this result with Lemma 3(v) shows that  $f'_0$  is the desired extension of  $f'$ . The converse direction, finally, is given by inequality (2.5). ■

The difference between Theorems 2 and 3 is that they treat the saturation problem in different spaces. In Theorem 2 the operators  $T'_t$  are regarded as elements of  $[X']$ , whereas in Theorem 3 they are considered as elements of  $[D(B)']$ . Although the proofs of both theorems are quite similar, it does not seem that one can be deduced from the other.

#### 4. APPLICATIONS

##### 4.1. Semigroups of Operators

If  $\{S(t); t \geq 0\}$  is a  $(C_0)$ -semigroup of operators defined on a Banach space  $X$  (for definition see [2, Section 1.1]), and  $A$  is the infinitesimal generator, then  $D(A)$  is dense in  $X$ , and by definition there holds

$$\lim_{t \rightarrow 0^+} \|t^{-1}[S(t)g - g] - Ag\|_X = 0 \quad (g \in D(A)),$$

i.e.,  $\{S(t); t \geq 0\}$  satisfies a Voronovskaja-type relation with  $\varphi(t) = t$  and  $B = A$ . Moreover,

$$J_n f := n \int_0^{1/n} S(t) f dt \quad (n \in \mathbb{N})$$

defines a regularization process, as needed in Theorems 1 or 2, and one has that  $R(A - \lambda I) = X$  for all complex  $\lambda$  with real part large enough (cf. [2, pp. 31, 32]). Finally, if  $f' \in D(A^*)$ , then (cf. [2, p. 48])

$$\langle S(t)' f' - f', f \rangle = \int_0^t \langle S(u)' A^* f', f \rangle du \quad (f \in X; t > 0).$$

This shows that  $A^* f' = 0$  on  $X$  implies  $S(t)' f' = f'$  for all  $t > 0$ .

As an application of Theorem 2 one now obtains

**THEOREM 4.** *Let  $\{S(t); t \geq 0\}$  be a  $(C_0)$ -semigroup of operators on a Banach space  $X$  with infinitesimal generator  $A$ :*

(a) *If  $f' \in X'$  is such that  $\liminf_{t \rightarrow 0+} \|t^{-1} [S(t)' f' - f']\|_{X'} = 0$ , then  $S(t)' f' = f'$  for all  $t > 0$ .*

(b) *The following statements are equivalent for  $f' \in X'$ :*

(i)  $\|S(t)' f' - f'\|_{X'} = \mathcal{O}(t)$  ( $t \rightarrow 0+$ ),

(ii)  $f' \in D(A^*)$ .

Theorem 4 states that  $\{S(t)'\}$  is saturated in  $X'$  with order  $\mathcal{O}(t)$ , the saturation class being given by  $D(A^*)$ . Note that this result was already established by de Leeuw [7] using the Banach–Alaoglu theorem on  $w^*$ -compactness of bounded  $w^*$ -closed sets; see also [2, Theorem 2.1.4].

In order to apply Theorem 2, we restrict ourselves to holomorphic semigroups, which means that  $S(t)f \in D(A)$  for all  $f \in X$  and  $t > 0$ . In this case one can use the results in [8] to obtain in addition assertions on non-optimal approximation.

**THEOREM 5.** *Let  $\{S(t); t \geq 0\}$  be a holomorphic  $(C_0)$ -semigroup of operators on a Banach space  $X$ .*

(a) *The following assertions are equivalent for  $f' \in D(A)'$  and  $0 < \sigma < 1$ :*

(i)  $\|S(t)' f' - f'\|_{D(A)'} = \mathcal{O}(t^\sigma)$  ( $t \rightarrow 0+$ ),

(ii)  $K(t^\sigma, f'; D(A)', X') = \mathcal{O}(t^\sigma)$  ( $t \rightarrow 0+$ ).

(b)  *$\{S(t)'\}$  is saturated in  $D(A)'$  with order  $\mathcal{O}(t)$ , the saturation class is given by  $X'$ .*



For the proof of part (a) and the definition of the  $K$ -functional see [8]. The Jackson-type inequality needed there follows from (2.4) and the Bernstein-type inequality from [2, Proposition 1.1.11]. Note that Theorem 5(b) remains valid if the assumption  $\{S(t)\}$  to be holomorphic is dropped.

4.2. Convolution Integrals

As a further application of Theorem 3 we consider approximation processes generated by convolution integrals. Let  $C_{2\pi}$  denote the space of all continuous,  $2\pi$ -periodic, complex-valued functions defined on the real axis  $\mathbb{R}$ , endowed with the supremum norm  $\|f\|_C$ . A sequence of functions  $\{\chi_k; k \in \mathbb{N}\}$  in  $C_{2\pi}$  is called an approximate identity, if  $\int_{-\pi}^{\pi} \chi_k(u) du = 2\pi$  for all  $k \in \mathbb{N}$  and  $\lim_{k \rightarrow \infty} \int_{|u| > \delta} |\chi_k(u)| du = 0$  for each  $\delta > 0$ . The convolution integrals of  $f \in C_{2\pi}$  with  $\chi_k$  are defined as

$$(V_k f)(x) \equiv (f * \chi_k)(x) := \frac{1}{2\pi} \int_{-\pi}^{\pi} f(x - u) \chi_k(u) du \quad (k \in \mathbb{N}; x \in \mathbb{R}).$$

The  $V_k$  are bounded linear operators from  $C_{2\pi}$  into itself satisfying

$$V_k V_j f = V_j V_k f, \quad \lim_{k \rightarrow \infty} \|V_k f - f\|_C = 0 \quad (f \in C_{2\pi}; j, k \in \mathbb{N}).$$

In order to apply Theorem 2 we assume that the  $V_k$  satisfy a Voronovskaja-type relation with respect to the 2nd derivative, namely,

$$\lim_{k \rightarrow \infty} \|k^\alpha |V_k g - g| - c g^{(2)}\|_C = 0 \quad (g \in C_{2\pi}^2) \tag{4.1}$$

for some  $\alpha > 0$ ,  $c \in \mathbb{R} \setminus \{0\}$ , where  $C_{2\pi}^2$  is the set of all  $g \in C_{2\pi}$  for which the 2nd derivative  $g^{(2)}$  again belongs to  $C_{2\pi}$ . So we have  $B = c(d/dx)^2$ ,  $D(B) = C_{2\pi}^2$  and  $\varphi(1/k) = k^{-\alpha}$ .

As regularization operators  $J_n$  one can take any convolution integral with underlying approximate identity  $\{\kappa_n\} \subset C_{2\pi}^2$ . Finally, one has that for each complex  $\lambda$  with  $\lambda/c \neq j^2$  for  $j = 0, 1, 2, \dots$  there holds  $R(c(d/dx)^2 - \lambda I) = C_{2\pi}$ .

In order to compute the dual space of  $C_{2\pi}^2$  we regard  $C_{2\pi}^2$  and  $(C_{2\pi}^2)'$  as subspaces of  $\mathcal{D}'_{2\pi}$ , the space of all  $2\pi$ -periodic distributions (cf. [10, Chapter 11]). On  $\mathcal{D}'_{2\pi}$  we consider the operator

$$(I^2 f')(x) := \sum_{\substack{j=-\infty \\ j \neq 0}}^{\infty} (ij)^{-2} f' \wedge(j) e^{ijx} \quad (f' \in \mathcal{D}'_{2\pi}),$$

where convergence is to be understood in the topology of  $\mathcal{D}'_{2\pi}$ , and the distributional Fourier coefficients are given by  $f' \wedge(j) := (2\pi)^{-1} \langle f'(x), e^{-ijx} \rangle$ .

Setting now

$$(C'_{2\pi})^{-2} := \{f' \in \mathcal{D}'_{2\pi}; I^2 f' \in C'_{2\pi}\},$$

$$\|f'\|_{(C'_{2\pi})^{-2}} := |f'\hat{\ } (0)| + \|I^2 f'\|_{C'_{2\pi}},$$

then  $(C^2_{2\pi})'$  and  $(C'_{2\pi})^{-2}$  are equal with equivalent norms (cf. [8]).

**THEOREM 6.** *Let  $\{V_k; k \in \mathbb{N}\}$  be a sequence of convolution integrals satisfying (4.1) for some  $\alpha > 0$ ,  $c \in \mathbb{R} \setminus \{0\}$ .*

(a) *If  $f' \in (C'_{2\pi})^{-2}$  is such that  $\liminf_{k \rightarrow \infty} \|k^\alpha [V'_k f' - f']\|_{(C'_{2\pi})^{-2}} = 0$ , then  $V'_k f' = f'$  for all  $k \in \mathbb{N}$ .*

(b) *The following statements are equivalent for  $f' \in (C'_{2\pi})^{-2}$ :*

(i)  $\|V'_k f' - f'\|_{(C'_{2\pi})^{-2}} = \mathcal{O}(k^{-\alpha})$  ( $k \rightarrow \infty$ ),

(ii)  $f' \in C'_{2\pi}$ .

There are various convolution integrals satisfying the Voronovskaja-type relation (4.1), e.g., the integrals of Jackson with  $\alpha = 2$ ,  $c = 3/2$ , of de La Vallée Poussin with  $\alpha = 1$ ,  $c = 1$  and those of Rogosinski with  $\alpha = 2$ ,  $c = \pi^2/8$  (cf. [3]).

Similarly as in Theorem 5 one can use the results in [8] to treat approximation orders  $\mathcal{O}(k^{-\sigma})$  for  $0 < \sigma < \alpha$ . Results corresponding to those of Theorem 6 are valid in  $L^p_{2\pi}$ -spaces.

Of course it would also be possible to apply Theorem 2 to convolution integrals. In this case one has to compute the spaces  $D(B^*)$ . For some particular integrals this is carried out in [7].

## REFERENCES

1. H. BERENS, "Interpolationsmethoden zur Behandlung von Approximationsprozessen auf Banachräumen," Lecture Notes in Mathematics No. 64, Springer-Verlag, Berlin, 1968.
2. P. L. BUTZER AND H. BERENS, "Semi-Groups of Operators," Springer-Verlag, Berlin, 1967.
3. P. L. BUTZER AND E. GÖRLICH, Saturationsklassen und asymptotische Eigenschaften Trigonometrischer singulärer Integrale, in "Festschrift zur Gedächtnisfeier Karl Weierstraß 1815-1965 (Wiss. Abh. Arbeitsgemeinschaft für Forschung des Landes Nordrhein-Westfalen 33" (H. Behnke and K. Kopfermann, Eds.), pp. 339-392, Westdeutscher Verlag, Opladen, 1966.
4. P. L. BUTZER AND R. J. NESSEL, "Fourier Analysis and Approximation," Vol. 1, Birkhäuser Verlag/Academic Press, Basel, New York, 1971.
5. R. A. DEVORE, "The Approximation of Continuous Functions by Positive Linear Operators," Lecture Notes in Mathematics No. 293, Springer-Verlag, Berlin, 1972.
6. S. GOLDBERG, "Unbounded Linear Operators," McGraw-Hill, New York, 1966.
7. K. DE LEEUW, On the adjoint semigroup and some problems in the theory of approximation, *Math. Z.* **73** (1960), 219-234.

8. S. RIES AND R. L. STENS. A unified approach to fundamental theorems of approximation by sequences of linear operators and their dual versions. *Acta Math. (Szeged)* **47** (1984). in press.
9. A. E. TAYLOR, "Introduction to Functional Analysis," Wiley, New York, 1958.
10. A. H. ZEMANIAN, "Distribution Theory and Transform Analysis," McGraw-Hill, New York, 1965.